



### 3. Disclosure to third parties

If you want us to disclose information about your Account to a third party when they ask for it, give details in this section.

Any third party you authorise will be given a Personal ID and Password to allow them online view only and telephone access to your Account.

Name:	Send duplicate Contract Notes to the person named* Yes <input type="checkbox"/> No <input type="checkbox"/>
Address:	* Unless you have asked us to send this person duplicate Contract Notes, we will only respond to information requests from them when they ask for it.
Postcode:	Relationship:

This disclosure authority only applies to this Account. Please note that if instructions are to be accepted from anyone other than you to operate your Account, a Power of Attorney or *Third Party Authority Form* must be submitted. The *Third Party Authority Form* may be obtained by calling your Customer Services Team on **01382 573737** or downloaded from [alliancetrustsavings.co.uk](http://alliancetrustsavings.co.uk).

### 4. Payments to your ISA

The maximum you may subscribe to an ISA in the 2018/19 tax year is £20,000. Please see the *ISA Key Facts* document for more information. Please remember, any subscriptions you make to a Cash, Innovative, or Lifetime ISA count towards the overall ISA subscription limit.

#### Lump sum payment by cheque

Amount: £ \_\_\_\_\_ (minimum £50)

Make cheque payable to Alliance Trust Savings Limited.

#### Regular payments by Direct Debit

Amount: £ \_\_\_\_\_ (minimum £50)

Collection on this day of the month (tick one box only): 1st  8th  15th  22nd

Direct Debits will be automatically collected on a monthly basis.   
If you wish Direct Debits to be collected quarterly, please tick.

Commencing in (month/year): | M | M | Y | Y | Y | Y |

You must also provide your bank details using the Direct Debit Instruction at the back of this form in Section 9. Tick this box to confirm you have done this.

You should allow at least 10 working days before the first collection is due to be paid to allow your bank time to set up the Direct Debit.

#### Transferring in another ISA Account

I wish to open an ISA and to transfer-in assets from another ISA (Please tick)

I have completed and enclosed a *Stocks & Shares ISA Transfer In Request Form* (Please tick). We cannot arrange a transfer until you do so

You can download a *Transfer In Request Form* from [alliancetrustsavings.co.uk](http://alliancetrustsavings.co.uk) or call **01382 573737**.

### 5. Investment instructions

When we receive your cash, we will hold it in a deposit account. You can then make your investment instructions online. If you require online access, you can request this by calling our Customer Services Team on **01382 573737**.

### 6. Data protection and privacy

We are committed to maintaining the personal information that you provide to us in accordance with the requirements of data protection/ data privacy legislation.

In order to process this application and maintain your Account we collect and use your personal information. Our *Data Protection and Privacy Policy* describes the type of personal information we collect, the purposes for which we use the information, the circumstances in which we may share the information and the steps we take to safeguard the information to protect your privacy.

Details of our *Data Protection and Privacy Policy* can be found in Section B of our *Terms and Conditions* available at [alliancetrustsavings.co.uk](http://alliancetrustsavings.co.uk).

## 7. Marketing Communications

To ensure you only receive the communications you want from Alliance Trust Savings and in the format you choose, you can update your preferences here.

I would like to receive:

Monthly News for you topical eNewsletter	<input type="checkbox"/>	Your Retirement annual retirement planning magazine	Post <input type="checkbox"/>	Online <input type="checkbox"/>
Taking Stock quarterly investment trust magazine	Post <input type="checkbox"/>	Online <input type="checkbox"/>	Monthly Top 5's	<input type="checkbox"/>
Information on Alliance Trust Savings' Products and Services	<input type="checkbox"/>	IPOs and Share Offers		<input type="checkbox"/>
Articles provided by select investment partners*	<input type="checkbox"/>			

\* We will not provide your data to third-parties. This content will be delivered to you direct from Alliance Trust Savings.

You can amend the communications you would like to receive at any time by logging into your Account and visiting your personal *Preference Centre*.

## 8. Customer declaration and signature

I apply to open and subscribe to a Stocks & Shares ISA for the tax year in which the application is submitted and each successive year until further notice.

I confirm that I have been provided with a copy of, and agree to, the Terms and Conditions and the Charges Guide (together with this completed application the "Governing Documents") relating to the Stocks & Shares ISA provided by Alliance Trust Savings Limited (the "Stocks & Shares ISA"). The Governing Documents together comprise our standard client agreement upon which we intend to rely. For your own benefit and protection you should read the Governing Documents carefully before signing this declaration or submitting this application. If you do not understand any point please ask for further information.

I consent to Alliance Trust Savings Limited's *Order Execution Policy*.

**I declare that:**

- I am not a US person
- I will inform you without delay of any changes to the particulars given in this application and any other changes which may affect my ability to hold or subscribe to a Stocks & Shares ISA
- all subscriptions made, and to be made, belong to me
- I am 18 years of age or over
- I have not subscribed/made payments to and will not subscribe/make payments more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year
- I have not subscribed, and will not subscribe, to another Stocks & Shares ISA in the same tax year that I subscribe to this Stocks & Shares ISA
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties

- I will inform Alliance Trust Savings Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties, as the case may be
- this application has been completed to the best of my knowledge and belief.

**I authorise Alliance Trust Savings Limited:**

- to hold my cash subscription/payment, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- to make on my behalf any claims to relief from tax in respect of ISA investments
- to create additional Accounts for me as appropriate for the delivery of Services or receiving payments using the details contained in this application, as updated by me as appropriate
- on my authenticated request, and subject to the Governing Documents, transfer or pay to me, as the case may be, investments, interest, dividends, rights or proceeds in respect of those investments or any cash

It is a serious offence to make any false statements and to do so can lead to prosecution, civil action, deductions from your Account or closure of your Account.

Please tick this box to acknowledge that you have read the *FSCS Information sheet* including exclusions to the deposit or protection scheme and kept it for future reference

Signature: 

Date: | D | D | M | M | Y | Y |

**Alliance Trust Savings**

PO Box 164, 8 West Marketgait, Dundee DD1 9YP

T +44 (0)1382 573737 F +44 (0)1382 321183 E [contact@alliancetrust.co.uk](mailto:contact@alliancetrust.co.uk) [alliancetrustsavings.co.uk](http://alliancetrustsavings.co.uk)

Alliance Trust Savings Limited is a subsidiary of Alliance Trust PLC and is registered in Scotland No. SC 98767, registered office, PO Box 164, 8 West Marketgait, Dundee DD1 9YP; is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority, firm reference number 116115. Alliance Trust Savings gives no financial or investment advice. Calls may be recorded for training and monitoring purposes.

## 9. Direct Debit instruction

Instruction to your bank or building society to pay by Direct Debit. Complete and return to: Alliance Trust Savings Limited, PO Box 164, 8 West Marketgait, Dundee DD1 9YP.

Alliance Trust Savings number  
(Alliance Trust Savings use only): | | | | | | | |

Originator's ID number: | 8 | 0 | 7 | 3 | 4 | 1 |

Full name: \_\_\_\_\_

Permanent residential address: \_\_\_\_\_

Postcode: \_\_\_\_\_



Name(s) of Account holder(s): \_\_\_\_\_

Name(s) of Account holder(s): \_\_\_\_\_

Branch Sort code: | | | | | | | |

Account number: \_\_\_\_\_

### Direct Debit instruction

Pay Alliance Trust Savings Limited Direct Debits from the Account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Alliance Trust Savings Limited and, if so, details will be passed on electronically to my bank/building society.

Signature: 

Date: | D | D | M | M | Y | Y |

Signature: 

Date: | D | D | M | M | Y | Y |

### Alliance Trust Savings

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## Direct Debit guarantee

This guarantee should be detached and retained by the payer.

- This guarantee is offered by all banks and building societies that take part in the Direct Debit Scheme. The efficiency and security of the Scheme is monitored and protected by your own bank or building society.
- If an error is made by Alliance Trust Savings or your bank or building society, you are guaranteed a full and immediate refund from your branch of the amount paid.
- If the amounts to be paid or the payment dates change, Alliance Trust Savings will notify you 10 working days in advance of your Account being debited or as otherwise agreed.
- You can cancel a Direct Debit at any time, by writing to your bank or building society. Please also send a copy of your letter to us.

