



If you have any questions, please call our Customer Services Team on

01382 573737

Calls may be recorded for training and monitoring purposes.



Alliance Trust Savings

Stocks & Shares ISA

Additional Permitted Subscription Application

This form is to be used if you are applying for Additional Permitted Subscriptions, following the death of an ISA Account holder or wish to transfer in Additional Permitted Subscriptions from another ISA provider.

Please read the notes before proceeding. If you do not already have an ISA Account you must also open an Account online at alliancetrustsavings.co.uk/apply, or complete an *Application Form*. If you require an *Application Form*, please download one from alliancetrustsavings.co.uk, or contact our Customer Services Team on **01382 573737** or contact@alliancetrust.co.uk and ask for an ISA application pack.

Read in conjunction with our *Charges Guide*. Please note that you will incur higher charges for postal dealing than online. If you have any questions, please call our Customer Services Team on **01382 573737**.

Please complete both Sections A and B if appropriate and return to Alliance Trust Savings Limited. Please complete in block capitals and black ink.

Section A

This section is for applications for Additional Permitted Subscriptions following the death of an Alliance Trust Savings Stocks & Shares ISA Account holder.

1. Personal details

<p>Title: _____</p> <p>First name(s): _____</p> <p>Surname: _____</p> <p>Permanent residential address: _____</p> <p style="text-align: right;">Postcode: _____</p> <p>Phone number: _____</p> <p>Mobile number: _____</p> <p>Email address: _____</p>	<p>Date of birth: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/></p> <p>Mother's maiden name (for security purposes): _____</p> <p>I am not a US person (Please tick) <input type="checkbox"/></p> <p><small>If you cannot give this declaration please do not continue with this application. A Financial Adviser will be able to help you. For a definition of a 'US person' please see the Glossary on our website.</small></p>
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2. Nationality

You are a national of any country for which you hold a passport (or could, if you applied for one). Failure to provide this information will mean your transaction will be rejected.

UK nationals

I confirm I am a national of the United Kingdom My National Insurance Number is: _____

Dual and other nationals

Please tell us any other country or countries of which you are a national. If you have more than two nationalities we only need to know about the two you most frequently use (including your UK nationality where applicable). We also require your Personal Identifier. You can find this information on the *Personal Identifier Reference List*.

Country	Your Personal Identifier

3. Details of the deceased

Title of the deceased:	Date of birth: D D M M Y Y	Date of death: D D M M Y Y
First name(s):	Date of marriage or civil partnership between the investor and the deceased: D D M M Y Y	
Surname:	The National Insurance number of the deceased (or confirmation that they did not have one): Yes <input type="checkbox"/> No <input type="checkbox"/>	
Permanent residential address at the date of death:	If yes, you must enter it here:	
Postcode:	Deceased's existing ISA Account number(s):	

Please note if multiple ISAs were held by the deceased with Alliance Trust Savings their value will be combined to form one Additional Permitted Subscription allowance.

I wish to subscribe: £

I wish to subscribe from my Additional Permitted Subscription allowance in respect of the deceased and wish to make my transfer to an Alliance Trust Savings Stocks & Shares ISA

- In cash, **OR**;
- In investments, where I became the beneficial owner of the Investments held in respect of the deceased's ISA.

Please be aware that once a subscription to this Additional Permitted Subscription allowance has been made, any future subscriptions under this Additional Permitted Subscription allowance **MUST** be made to Alliance Trust Savings and be accompanied by an Additional Permitted Subscription Eligibility Declaration.

3. Declaration to Alliance Trust Savings and Signature

I declare that:

- I am the surviving spouse/civil partner of the deceased
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down)
- the subscription is made under the provisions of regulation 5DDA of the ISA regulations (additional permitted subscription)
- the subscription is being made:
 1. in the case of investment transfers, within 180 days of beneficial ownership passing to me
 2. in the case of cash subscriptions, within 3 years of the date of death if later, 180 days of the completion of the administration of the estate
- I am aged 18 years or over
- all subscriptions made and to be made, belong to me.

Signature: 

I authorise Alliance Trust Savings Limited:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- to make on my behalf any claims to relief from tax in respect of ISA investments
- I agree to the ISA *Terms and Conditions*
- I declare that this APS application form has been completed to the best of my knowledge and belief.

Date: |D|D|M|M|Y|Y|

This section is for applications to transfer in Additional Permitted Subscriptions from another Stock & Shares ISA provider.

1. Personal details

Title:	Date of birth: D D M M Y Y
First name(s):	Mother's maiden name (for security purposes):
Surname:	Do you have a National Insurance Number? Yes <input type="checkbox"/> No <input type="checkbox"/>
Permanent residential address:	NI number:
Postcode:	ISA regulations require that if you have a National Insurance number you must provide it.
Phone number:	I am not a US person (Please tick) <input type="checkbox"/>
Mobile number:	If you cannot give this declaration please do not continue with this application. A Financial Adviser will be able to help you. For a definition of a 'US person' please see the Glossary on our website.
Email address:	

2. Details of the deceased

Title of the deceased:	Date of birth: D D M M Y Y	Date of death: D D M M Y Y
First name(s):	Date of marriage or civil partnership between the investor and the deceased: D D M M Y Y	
Surname:	The National Insurance number of the deceased (or confirmation that they did not have one): Yes <input type="checkbox"/> No <input type="checkbox"/>	
Permanent residential address at the date of death:	If yes, you must enter it here:	
Postcode:	Deceased's existing ISA Account number(s):	

Please note if multiple ISAs were held by the deceased with the ISA manager their value will be combined to form one APS allowance.

Name of deceased's ISA manager:
Address of deceased's ISA manager:
Postcode:

Please be aware that an APS allowance can only be transferred once and only where no subscriptions have been made under that APS allowance. Once transferred subscriptions may only be made in cash.

3. Declaration to Alliance Trust Savings and Signature

I declare that:

- I am the surviving spouse/civil partner of the deceased
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down)
- I have not subscribed to and will not subscribe to the additional permitted subscription allowance with the existing ISA provider of the deceased in respect of the deceased named on this application
- I intend to make an additional permitted subscription application to Alliance Trust Savings Limited.

I authorise the existing ISA provider of the deceased as specified above to provide Alliance Trust Savings Limited with any information, written or non-written, concerning the APS allowance and former ISA in respect of the myself and the deceased and to accept any instruction from them relating to the APS allowance being transferred.

I declare that this APS transfer application form has been completed to the best of my knowledge and belief.

Signature: 

Date: |D|D|M|M|Y|Y|

Transfer Acceptance

Alliance Trust Savings Limited are willing to accept this Additional Permitted Subscription allowance transfer in line with the investor's instructions above. We confirm that, subject to relevant checks, we are willing to accept an additional permitted subscription application from the investor.

Notes

Important information

- Alliance Trust Savings only offer a Stocks & Shares ISA
- An Additional Permitted Subscription is in addition to the annual ISA allowance
- Additional Permitted Subscriptions can be transferred to a different ISA manager
- Additional Permitted Subscriptions are only in respect of deaths from the 3 December 2014
- The option to make an Additional Permitted Subscription payment via an investment transfer only applies where the surviving spouse inherits the ISA assets and wishes to remain with the deceased's ISA manager. If a surviving spouse has transferred their Additional Permitted Subscription allowance to another ISA manager, only cash subscriptions are permitted with this manager.

Applications for an Additional Permitted Subscription

- For deaths on or before 5 April 2018 the APS allowance is limited to the value of the deceased's ISA at their Date of Death
- For deaths on or after 6 April 2018 the APS allowance can be either the value of the deceased's ISA at their date of death or the value of the deceased's ISA at date of account closure.
- Must be made within specific time limits (in the case of investment transfers, within 180 days of beneficial ownership passing to the surviving spouse, and in the case of cash subscriptions, within 3 years of the date of death, or if later, 180 days of the completion of the administration of the estate)
- Cash transfers are available whether or not the surviving spouse inherited the deceased's ISA assets
- Cannot be made to a Junior ISA
- In respect of deaths between 3 December 2014 and 5 April 2015, the time limits will run from 6 April 2015
- Additional Permitted Subscriptions can be made as either a one off lump sum or multiple payments
- We can accept payments via BACS, cheques and by standing order.

Alliance Trust Savings

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Alliance Trust Savings Limited is a subsidiary of Alliance Trust PLC and is registered in Scotland No. SC 98767, registered office, PO Box 164, 8 West Marketgait, Dundee DD1 9YP; is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority, firm reference number 116115. Alliance Trust Savings gives no financial or investment advice. Calls may be recorded for training and monitoring purposes.