

2 Investment instructions (continued)

Minimum Purchase Levels

When cash in your Security Account reaches the Minimum Purchase Level we will automatically purchase more of the same investments for you. The default level is £100 but if you wish to select a different amount please tick below. The level you select will apply to all investments in your Junior ISA. You can change the levels at any time by completing a Stocks and Shares Junior ISA Instruction form.

£150 £300 £500

Purchasing Funds

If you wish to invest in a fund (an OEIC, ETF or unit trust) you must confirm that you have read the relevant Key Investor Information Document (KIID) **and** that you and the child are not US persons. Please tick all boxes below.

I confirm that I have read the Key Investor Information Document (KIID). (These are available on our Investment Selector/ research tool or via the fund provider directly).

and

I am not a US person.

The child is not a US person.

3 Fund Conversion - applicable to holders of retail share class funds only

Alliance Trust Savings will automatically convert retail share classes to new clean share class equivalents where the net Annual Management Charge (AMC) is lower. If the net AMC is higher, we will proceed with the conversion to the clean share class equivalent unless you indicate below that you do not wish this to happen. A small proportion of funds do not have a clean share class equivalent. In this instance you will remain within the retail share class and receive 100% of the rebate payment received from the fund manager.

I do not wish to convert to the clean share class equivalent if the net AMC is higher (Please tick)

4 Declaration by Alliance Trust Savings

We declare to you that:

- The declarations made to you at the time of your application for a Stocks and Shares Junior ISA remain true and valid
- If we accept the transfers from the transferring ISA/Child Trust Fund Manager, we will apply the transferred assets to your Stocks and Shares Junior ISA as instructed by you in this transfer request form to be held under the terms of that Stocks and Shares Junior ISA
- Your Stocks and Shares Junior ISA and your rights under it will be governed by the details on your application as accepted by us, and by our Terms and Conditions and documents referred to in it (the "Governing Documents") as amended by us from time to time

5 Declaration to Alliance Trust Savings and Signature by Child (if aged 16 or 17)/Parent/Legal Guardian

I declare that:

I am 16 years of age or over

I am the child/I have parental responsibility for that child
(Please tick the appropriate box)

- I am the registered contact for the Junior ISA/Child Trust Fund
- I declare that the declarations made to you in my application for my child's Stocks and Shares Junior ISA are still true and apply to the transfer described in this transfer in request
- I authorise you (on behalf of the child who holds the account where appropriate) to create additional Accounts for me as appropriate for the delivery of Services or receiving payments using the details contained in my application, as updated by me as appropriate

- I authorise you to hold the child's subscriptions, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash, and to make on behalf of the child any claims to relief from tax in respect of Junior ISA investments
- I acknowledge that there may be circumstances in which my requested transfer cannot proceed, in which case I withdraw my request and agree that the assets to be transferred will remain with my current ISA manager
- By completing this transfer in request I instruct you to hold the transferred assets in my child's Stocks and Shares Junior ISA Account with you
- I agree to the Junior ISA terms and conditions.

It is a serious offence to make any false statements and to do so can lead to prosecution.

Signature

Date

DD MM YYYY

6 Junior ISA/Child Trust Fund Statement

Please ensure you enclose a recent statement for the Junior ISA/Child Trust Fund you are transferring. Please tick to confirm that you have enclosed.

Please now complete Section B.

Junior ISA/ Child Trust Fund

Transfer In request form

Section B – Instructions to the manager of the Junior ISA/ Child Trust Fund you are transferring

Section A has been retained by Alliance Trust Savings

Please complete in block capitals and black ink and return to Alliance Trust Savings Limited.

1 Instructions to the Junior ISA/Child Trust Fund manager of the Account you are transferring to Alliance Trust Savings

To: (Junior ISA/Child Trust Fund Manager)		From: (applicant/registered contact)	
Name	<input type="text"/>	Name	<input type="text"/>
Address	<input type="text"/> <input type="text"/> <input type="text"/>	Address	<input type="text"/> <input type="text"/> <input type="text"/>
Postcode	<input type="text"/>	Postcode	<input type="text"/>

2 Transfer details

I wish to transfer the following Account to Alliance Trust Savings Limited (HM Revenue & Customs reference Z1155 for)

Junior ISA Account number or Child Trust Fund reference number	<input type="text"/>	Account (Please tick)	Cash Junior ISA (Go to section 3)	<input type="checkbox"/>
Child's full name	<input type="text"/>		Stocks and Shares Junior ISA (Go to section 4)	<input type="checkbox"/>
Child's address	<input type="text"/> <input type="text"/> <input type="text"/>		Child Trust Fund (Go to section 4)	<input type="checkbox"/>
Postcode	<input type="text"/>			
Child's date of birth	<input type="text"/>			
Are you transferring cash paid into a Junior ISA during this tax year? (Please tick)		Yes <input type="checkbox"/>	No <input type="checkbox"/>	Any cash you have paid into a Junior ISA this tax year must be transferred in full.

3 Transferring a Cash Junior ISA

Please complete this section if you are transferring a Cash Junior ISA to Alliance Trust Savings Limited.

I wish to transfer my Cash Junior ISA in (Please tick) Full OR Part If you are transferring only part of your Cash Junior ISA please enter the amount to be transferred

Now sign and date in section 5

4 Transferring a Stocks and Shares Junior ISA or a Child Trust Fund

Please complete this section if you are transferring a Stocks and Shares Junior ISA or a Child Trust Fund to Alliance Trust Savings.

I wish to transfer my Stocks and Shares Junior ISA in (Please tick) Full (Go to section 4A) OR Part (Go to section 4B)

I understand that I must transfer my Child Trust Fund in Full (Please tick) (Go to section 4A)

Please now complete the appropriate section below.

4(a) Transfer my Junior ISA/Child Trust Fund in full

Please indicate by ticking one of the boxes below how you wish to transfer your Stocks and Shares Junior ISA to Alliance Trust Savings.

Cash only Please sell all investments and transfer in cash.
(Please tick)
Please now sign and date section 5

You are not required to complete the rest of this section.

Investments and Cash
(Please tick)

Please now complete the table below.

Please transfer the following investments and refer to the letter from Alliance Trust Savings for registration details for the investments to be transferred. Please sell all other investments in my Junior ISA/Child Trust Fund and send a cheque representing the net sale proceeds to Alliance Trust Savings. Cheques should be made payable to Alliance Trust Savings Limited. Please note your existing provider may charge you for this prior to the transfer being sent to Alliance Trust Savings.

Investments to be transferred

Where I must give notice to close or transfer part of the existing Child Trust Fund, or the existing Child Trust Fund contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing Child Trust Fund provider to either (Please tick option 1 or 2)

Wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer (Please tick)

Depending on the terms and conditions, carry out the transfer as soon as possible – I accept any consequential loss of income, capital or charges that may be applied (Please tick)

If you are unable to carry out my instructions as detailed above:

Transfer in cash (Please tick) OR Return this instruction to Alliance Trust Savings (Please tick)

Now sign and date section 5

4(b) Transfer only part of my Junior ISA

Please indicate by ticking one of the boxes below how you wish to transfer your Stocks and Shares Junior ISA to Alliance Trust Savings.

Cash only (Please tick)

Amount to be transferred

(This is the approximate value and will depend on share prices at the time of sale).

£

Please list the investments you wish to sell in order to raise the cash.

Investments to be sold	Please write number of shares or 'all' if you wish to sell your total holding

Now sign and date section 5

Investments and Cash

(Please tick)

Amount of cash to be transferred (if any)

£

Please now complete the table below.

Please transfer the following investments and refer to the letter from Alliance Trust Savings for registration details for the investments to be transferred.

Investments to be transferred	Please write number of shares or 'all' if you wish to transfer your total holding

If you are unable to carry out my instructions as detailed above:

Transfer in cash (Please tick)

OR

Return this instruction to Alliance Trust Savings (Please tick)

Now sign and date Section 5

5 Signature of Registered Contact

Signature

Date

DD	MM	YYYY
----	----	------

Instructions to current Junior ISA/Child Trust Fund manager

Please:

1. Write to Alliance Trust Savings and to me informing us that you have received these instructions and are processing them.
2. Supply Alliance Trust Savings with any information they require about my Junior ISA/Child Trust Fund and comply with instructions given to you by Alliance Trust Savings regarding this transfer.
3. Send a closing statement of my Junior ISA/Child Trust Fund to Alliance Trust Savings and to me.

Important information

Your current manager may impose exit charges and other costs associated with the transfer and you should assess the total charges you would incur prior to instructing any transfer. Some managers may only allow you to transfer your Junior ISA/Child Trust Fund in the form of cash and you need to know whether this would apply as you may suffer losses as a result of buying back the investments sold.

Before instructing any transfer you should examine all costs associated with the operation of this Junior ISA. Certain elements of our products may be priced differently to those of your current Junior ISA/Child Trust Fund manager.

Transfers between managers may take several weeks to complete and you may be unable to deal for a period while the transfer is pending. You should be aware that there is potential for a loss of income or growth following changes in market conditions while the transfer is being completed.

Alliance Trust Savings

PO Box 164, 8 West Marketgait, Dundee DD1 9YP T +44 (0)1382 573737 F +44 (0)1382 321183

E contact@alliancetrust.co.uk www.alliancetrustsavings.co.uk

Alliance Trust Savings Limited is a subsidiary of Alliance Trust PLC and is registered in Scotland No. SC 98767, registered office, PO Box 164, 8 West Marketgait, Dundee DD1 9YP; is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority, firm reference number 116115. Alliance Trust Savings gives no financial or investment advice.