

2 Investment instructions (continued)

1 Investment

Enter the full name and class of each investment you wish to make. This is important as there may be different classes available.

Please also quote the MEX/TIDM code which you can find in the Investment Choice booklet which is available in the Forms & Documents section at www.alliancetrustsavings.co.uk.

To allocate your cash to your Deposit Account or Online Dealing Account, enter 'deposit' or 'online'.

2 Income options

Any income received from each investment will automatically be used to purchase more of the same investment when your Minimum Purchase Level (see below) is

Minimum Purchase Levels

When cash in your Security Account reaches the Minimum Purchase Level, we will automatically purchase more of the same investments for you. The default level is £100 but if you wish to select a different amount please tick below. The level you select will apply to all investments in your SIPP. You can change the levels at any time by completing a SIPP Instruction form.

£150 £300 £500

Purchasing Funds

If you wish to invest in a fund (an OEIC, ETF or unit trust) you must confirm that you have read an up-to-date version of the Key Investor Information Document (KIID) for each fund in which you want to invest, as well as the Supplementary Information Document (SID) and in addition, confirm that you are not a US Person or applying on behalf of a US Person. Please tick both boxes below.

Copies of the fund KIIDs and SIDs can be found in the Investment section of our website at www.alliancetrustsavings.co.uk or are available from the fund provider directly.

I confirm that I have read the relevant KIID(s) and SID(s) for the fund(s) in which I wish to invest.

and

I confirm that I am not a US Person or applying on behalf of a US Person.

3 Fund conversion - applicable to holders of retail share class funds only

Alliance Trust Savings will automatically convert retail share classes to new clean share class equivalents where the net Annual Management Charge (AMC) is equal or lower. If the net AMC is higher, we will proceed with the conversion to the clean share class equivalent unless you indicate below that you do not wish this to happen. A small proportion of funds do not have a clean share class equivalent. In this instance you will remain within the retail share class and receive 100% of any rebate payment that might be received from the fund manager.

I do not wish to convert to the clean share class equivalent if the net AMC is higher (Please tick)

4 Declaration

I confirm that this form relates to a proposed transfer to my Alliance Trust Savings SIPP.

I request the transferring scheme, or insurance company, specified above to transfer the value of my benefits to the Trustee and Scheme Administrator of the Alliance Trust's Pension Plan (the Scheme), and to accept instructions in respect of the transfer on my behalf from Alliance Trust Savings Limited and to disclose all information relevant to the transfer to them.

I accept that Alliance Trust Savings Limited are unable to advise me on the merits of the transfer and I confirm that I have not received any advice in respect of the proposed transfer from Alliance Trust Savings Limited or from any of their employees.

I understand that the actual amount or value of the transfer payment may change between the date of my request and the date that it is received by Alliance Trust Savings Limited.

Member's name

Member's signature

I am satisfied that the consequences of this transfer on protection of my pension entitlements that arose before 6 April 2006 are acceptable to me.

I instruct Alliance Trust Savings Limited, once they have confirmed acceptance of this transfer payment, to apply the transfer payment under the Scheme, in terms of the SIPP agreement. I confirm that I have read and saved a copy the SIPP documentation, particularly the Key Facts.

I understand that when the transfer payment is received it will remain in the deposit account until such time as I ask you to arrange for it to be invested.

To the best of my knowledge and belief all information given on this form is true, correct and complete.

Date DD MM YYYY

Please now complete Section B.

Section B – Transfer details

1 Transfer details

Is this transfer coming from a final salary/defined benefit (also referred to as career average) occupational scheme. This also includes block transfers and transfers from section 32 Buy-Out schemes or any other scheme that contains safe guarded benefits*? Yes No

Please note we do not accept transfers from defined benefit public sector schemes under any circumstance.

*Safeguarded benefits' are primarily benefits in Defined Benefit schemes but may also be benefits such as guarantees or other promises in other types of scheme.

If yes, you must have received advice from a financial adviser in connection with the proposed transfer. Your adviser must submit a letter on his or her firm's headed paper with this form which should state that your adviser has the required permissions and that the transfer is in your best interests. (We do not accept these transfers on a non-advised basis. We also do not accept public defined benefits schemes. If you are unsure please contact your current SIPP provider.) Charges will apply in relation to your transfer.

(a) Your personal details

Name of transferring scheme	<input type="text"/>	Reference or policy number	<input type="text"/>
Title	<input type="text"/>	Do you have a National Insurance Number? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Surname	<input type="text"/>	If yes, please enter it here	<input type="text"/>
Forename(s)	<input type="text"/>		
Address	<input type="text"/>	Phone number	<input type="text"/>
	<input type="text"/>	Date of birth	<input type="text"/>
	<input type="text"/>		<input type="text"/>
Postcode	<input type="text"/>		
Email address	<input type="text"/>		

(b) Name/address of the transferring scheme or insurance company

Name	<input type="text"/>	Estimated amount of transfer payment	£ <input type="text"/>
Address	<input type="text"/>	Amount stated by me is the current value at the date of this form.	
	<input type="text"/>	Is this transfer from a Money Purchase/Defined Contribution scheme? Yes <input type="checkbox"/> No <input type="checkbox"/>	
	<input type="text"/>	Is this transfer from overseas? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Postcode	<input type="text"/>	Phone number	<input type="text"/>

We will not process your transfer until your existing scheme provides us with all the information we require and confirm that they are willing and able to transfer.

2 Please complete this part in all areas

(a) Type of transferring scheme

Is the transfer coming from a defined benefit scheme (often referred to as a final salary or career average scheme)? (Please tick) Yes No
If no, please go to question 2(b)

Please confirm you are receiving advice from a financial adviser and the transfer is not from a defined benefit public sector scheme? (Please tick) Yes No

If you have answered yes to both questions, your adviser needs to submit a letter on his or her firm's headed paper with this transfer request form. The letter should state that your adviser has the required permission, has undertaken the necessary checks and advise that this transfer is in your best interests. We do not accept transfers from defined benefit schemes on a non-advised basis. We also do not accept public defined benefits schemes. If you are unsure please contact your current SIPP provider.

(b) Lump sum protection as part of a block transfer

Is the transfer part of a block transfer from an occupational pension scheme where you are entitled to a lump sum in excess of 25% of your transfer value? (Please tick) Yes No
If no, please go to question 2(c)

Please confirm you are receiving advice from a financial adviser and the transfer is not from a defined benefit public sector scheme? (Please tick) Yes No

If you have answered yes to both questions, your adviser needs to submit a letter on his or her firm's headed paper with this transfer request form. The letter should state that your adviser has the required permission, has undertaken the necessary checks and advise that this transfer is in your best interests. We do not accept transfers from defined benefit schemes on a non-advised basis. We also do not accept public defined benefits schemes. If you are unsure please contact your current SIPP provider.

(c) Transfer in respect of a divorce settlement

Is this transfer in respect of a divorce settlement? (Please tick) Yes No
If no, please proceed to (d)

If yes, does it relate to benefits that are already in payment? (Please tick) Yes No

(d) Are you in drawdown?

Have you taken any benefits from this policy? (Please tick) Yes No

If Yes, are you currently taking income? (Please tick) Yes No

Have you taken all or part of your 25% Tax Free Cash? (Please tick) Yes No Partial

If yes, is the whole of your pension fund being used for income withdrawal? (Please tick) Yes No

(e) Do you have a HMRC protection certificate?

Do you have a HMRC protection certificate? (Please tick) Yes No

If yes please send the original certificate to enable us to update your account

3 Transferring your Pension

Please complete this section if you are transferring to Alliance Trust Savings.

I wish to transfer my Pension in (Please tick) Full (Go to section 3a) OR Part (Go to section 3b)

Please complete the appropriate section below.

3(a) Transfer my Pension in full

Please indicate how you wish to transfer your Pension to Alliance Trust Savings.

Cash only (Please tick) Please sell all investments and transfer in cash (Please tick)

Please sign and date section 4

You are not required to complete the rest of this section.

Investments and Cash (Please tick) Please ensure you enclose a recent statement for this Pension (Please tick)

Please complete the table below.

Please transfer the following investments to Alliance Trust Savings to the re-registration details provided or sell the marked investments and send the net sale proceeds to Alliance Trust Savings.

Investments to be transferred			
Investment Name	ISIN/SEDOL	Transfer in-specie	Sell and transfer as cash
		YES/NO	YES/NO
		YES/NO	YES/NO
		YES/NO	YES/NO
		YES/NO	YES/NO
		YES/NO	YES/NO
		YES/NO	YES/NO
		YES/NO	YES/NO
		YES/NO	YES/NO
		YES/NO	YES/NO
		YES/NO	YES/NO
		YES/NO	YES/NO

If you are unable to carry out my instructions as detailed above:

Sell investments and transfer as cash (Please tick) OR Return this instruction to Alliance Trust Savings (Please tick)

I confirm that any investments which are not held on the ATS platform can be sold and transferred as cash.

Signature Date

Please sign and date section 4

3 Transferring your Pension (continued)

3(b) Transfer only part of my Pension

Please indicate how you wish to transfer your Pension to Alliance Trust Savings by ticking one of the boxes below.

Cash only (Please tick)

Amount to be transferred

(This is the approximate value and will depend on share prices at the time of sale)

£

Please list the investments you wish to sell in order to raise the cash.

Investments to be sold	Please write number of shares or 'all' if you wish to sell your total holding

Please sign and date section 4

Investments and Cash

(Please tick)

Amount of cash to be transferred (if any)

£

Please complete the table below.

Please transfer the following investments and refer to the letter from Alliance Trust Savings for registration details for the investments to be transferred.

Investments to be transferred	Please write number of shares or 'all' if you wish to transfer your total holding

If you are unable to carry out my instructions as detailed above:

Transfer in cash (Please tick)

OR

Return this instruction to Alliance Trust Savings (Please tick)

Please sign and date section 4

To be completed by Alliance Trust Savings Investments not available on Alliance Trust Savings platform

4 Declaration and Signature

Client name

Client address

National Insurance
Number

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I confirm that I give Alliance Trust Savings authority
to deal with my account. (Please tick)

Signature

Print name

Date

DD	MM	YYYY
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Alliance Trust Savings

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Alliance Trust Savings Limited is a subsidiary of Alliance Trust PLC and is registered in Scotland No. SC 98767, registered office, PO Box 164, 8 West Marketgait, Dundee DD1 9YP; is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority, firm reference number 116115. Alliance Trust Savings gives no financial or investment advice. Calls may be recorded for training and security purposes.