



If you have any questions, please call your Client Services Team on

08000 326 323

Cash ISA

Application form 2011/12

You can also apply for a Cash ISA online at www.alliancetrustsavings.co.uk

Alliance Trust Savings use only

Please complete in block capitals and black ink

This application will be effective for the tax year in which you apply, and each subsequent tax year that you make payments to the Cash ISA until further notice.

Please note you may not subscribe to more than one Cash ISA in the same tax year.

1 Personal details



If you are already an Alliance Trust Savings client please provide your Client Reference Number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Title

Date of birth

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
DD	MM	YYYY	

Surname

Forenames

Permanent residential address

Mailing address (if different)

Postcode

Postcode

Daytime phone number

Mobile phone number

Mother's maiden name (for security purposes)

Do you have a National Insurance Number?
 Yes
 No

If yes, you must enter it here

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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ISA regulations require that if you have a National Insurance Number you must provide it.

Email address

2 May we disclose information to your adviser?



(a) Authority to disclose

If you want us to disclose information about your Account by telephone, online or in writing to a financial adviser, please give their details in this section. Any financial adviser you authorise will be given a Personal ID and PIN to allow them online view only and telephone access to your Account.

Please note that this only allows us to disclose information. If you wish to also authorise your adviser to give investment instructions, please complete section 2(b) overleaf.

Contact name

Phone number

Name of adviser firm

Adviser firm FSA reference number

Address

Postcode

3 May we disclose information to your adviser? (continued)



(b) Authority to accept investment instructions

Any financial adviser you authorise to give investment instructions for your Account (e.g. purchase, sale or cash transfers within your Account) will be able to do this in writing, or use their Personal ID and PIN to allow them to do so online or by telephone.

Please note that this authority does not authorise them to make payments, subscriptions or contributions to your Account, give withdrawal instructions, or to change any personal details (e.g. change of address).

Do you want us to accept instructions from this financial adviser on your behalf? (Please tick one box only)

Yes

No

If yes, the financial adviser must sign the box below.

Signature

Date

DD	MM	YYYY
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Please note that even if you authorise a financial adviser to give instructions on your behalf it's still necessary for you to complete and sign this form.

4 May we disclose information to anyone else?



If you wish us to disclose information about your Account to a third party when they ask for it, please give details in this section.

Any third party you authorise will be given a Personal ID and PIN to allow them online view only and telephone access to your Account.

Name

Please send duplicate contract notes to the person named* (please tick if yes)

Address

Please state the relationship to you

Postcode

*Unless you have asked us to send this person duplicate contract notes, we will only respond to information requests from them when they ask for it.

This disclosure authority only applies to this Account. Please note that if instructions are to be accepted from anyone other than you to operate your Account, a Power of Attorney or Third Party Authority form must be submitted. The Third Party Authority form may be obtained by calling your Client Services Team on 08000 326 323 or downloaded from the Literature Centre on our website at www.alliancetrustsavings.co.uk.

5 Payments to your ISA



The maximum you can subscribe to a Cash ISA in the 2011/12 tax year is £5,340.

You may pay into your ISA in any of the ways listed below.

Lump sum payments by cheque

Amount

(minimum £50)

Please make cheques payable to Alliance Trust Savings Limited

Regular payments by Direct Debit

Amount

(minimum £50)

Collect on this day of the month
(Tick one box only)

1st	<input type="checkbox"/>	8th	<input type="checkbox"/>
15th	<input type="checkbox"/>	22nd	<input type="checkbox"/>

Commencing in (month/year)

MM	YYYY
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Collection

Direct Debits will be automatically collected on a monthly basis. If you wish Direct Debits to be collected quarterly, please tick.

You must also provide your bank details using the Direct Debit Instruction at the back of this form in section 7. Please tick this box to confirm you have done this.

Please remember to allow at least 10 working days before the first collection is due to be paid to allow your bank time to set up the Direct Debit.

Transferring in another Cash ISA

I wish to open my ISA by transferring in another Cash ISA (please tick if yes)

We will not process any transfers unless you enclose the necessary Transfer In Request Forms.

Please visit the Literature Centre at www.alliancetrustsavings.co.uk or contact us for the relevant forms.

6 Payments to your ISA (continued)



Share exchange

If you wish to use this facility please visit the Literature Centre at www.alliancetrustsavings.co.uk or contact us for the relevant forms.

Important information

Please note you will have a 30-day period to cancel your application for your Cash ISA. Once your application has been accepted we will send you a Cancellation Notice. You will have 30 days from the date you receive this notice to cancel your application.

7 Declaration by Alliance Trust Savings



We declare to you that:

- under the Data Protection Act 1998, we are a data controller and will process data about you in performance of our duties as an ISA manager
- we are an ISA manager authorised by Her Majesty's Revenue & Customs
- we are authorised and regulated by the Financial Services Authority

- we will hold cash subscriptions, Cash ISA eligible investments, interest, dividends and any other rights or proceeds in respect of those investments, and any other cash in your Cash ISA
- your Cash ISA and rights under it will be governed by the details on your application as accepted by us, and by our the Terms and Conditions and documents referred to in it (the "Governing Documents") as amended by us from time to time.

8 Declaration to Alliance Trust Savings and Signature



I apply to subscribe for a Cash ISA for the tax year stated on page 1, notwithstanding the date that I have signed this application, and each subsequent year until further notice.

I confirm that I have been given the opportunity to review the Terms and Conditions and documents referred to in it (the "Governing Documents"), and the Cash ISA Key Features.

I declare that:

- all payments or subscriptions made and to be made to my Cash ISA do and will belong to me
- I will be bound by the Governing Documents as they apply to my Cash ISA
- the information given by me in this application is true and correct to the best of my knowledge and belief, and
- I undertake to inform you without delay of any changes to the particulars given in this application and any other changes which may affect my ability to hold or subscribe to a Cash ISA.

I declare that:

- I am 16 years of age or over, and that one of the following applies to me:
 - (a) I am resident and ordinarily resident in the United Kingdom for tax purposes, or
 - (b) I perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or
 - (c) I am married to, or in a civil partnership with a person who performs duties described in paragraph (b)

I will inform you if my circumstances change so that none of these apply to me

- I have not and will not in the tax year to which this application form applies subscribe to any other Cash ISA from any other provider, and
- I will not subscribe more than the appropriate overall subscription limit in any tax year.

I hereby authorise you to:

- hold my subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash in my Cash ISA with you, subject to the Governing Documents
- make on my behalf any claims to relief from tax in respect of my investments
- on my authenticated request, and subject to the Governing Documents, transfer or pay to me, as the case may be, investments, interest, dividends, rights or proceeds in respect of those investments or any cash
- create additional Accounts for me as appropriate for the delivery of Services or receiving payments using the details contained in this application, as updated by me as appropriate
- if I do not already have a Personal ID and PIN, issue me with one on acceptance of this application so that I may use the Services that need my authentication
- act on instructions given under that Personal ID and PIN or replacement authentication for any of your Services that require prior authentication.

I am aware why my information and data is collected by you, the length of time it will be retained and that it may be passed to others, all as described in the Governing Documents.

You are authorised to:

- contact me by email, telephone or post to provide me with information on your products, services and events and those from companies within the Alliance Trust group, and
- transfer my information to other companies within the Alliance Trust group (including Alliance Trust PLC, Alliance Trust Pensions Limited, Alliance Trust Asset Management Limited and Alliance Trust Equity Partners) and I agree that they may contact me too

until I write or email the Client Services Team requesting you or them to stop.

By submitting this application, I consent to the use and processing of my information and data as set out in the Governing Documents.

It is a serious offence to make any false statements and to do so can lead to prosecution.

Signature

Date

DD	MM	YYYY
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9 Direct Debit Instruction



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form and return it to:
Alliance Trust Savings Limited, PO Box 164, 8 West Marketgait, Dundee DD1 9YP

Ref number (Alliance Trust use only)	<input type="text"/>	Originator's ID number	<input type="text" value="8"/> <input type="text" value="0"/> <input type="text" value="7"/> <input type="text" value="3"/> <input type="text" value="4"/> <input type="text" value="1"/>
Full name	<input type="text"/>	Name(s) of account holder(s)	<input type="text"/>
Name and full postal address of your bank or building society	<input type="text"/>	Branch sort code	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Postcode	<input type="text"/>	Bank or building society account number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Direct Debit instruction

Please pay Alliance Trust Savings Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Alliance Trust Savings Limited and, if so, details will be passed on electronically to my bank/building society.

Signature(s)	<input type="text" value="X"/>	Date	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>
	<input type="text" value="X"/>	Date	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>

Banks and building societies may not accept Direct Debit instructions for some types of account.

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Alliance Trust Savings Limited PO Box 164, 8 West Marketgait, Dundee DD1 9YP
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ALLIANCE TRUST ASSET MANAGEMENT

ALLIANCE TRUST PLC

ALLIANCE TRUST SAVINGS

Alliance Trust Savings Limited is registered in Scotland No. SC98767; registered office, PO Box 164, 8 West Marketgait, Dundee DD1 9YP; is authorised and regulated by the Financial Services Authority whose address is 25 The North Colonnade, Canary Wharf, London E14 5HS firm reference number 116115; gives no financial or investment advice. 11-184 (Mar 2011)

Direct Debit guarantee

This guarantee should be detached and retained by the payer.



- This guarantee is offered by all banks and building societies that take part in the Direct Debit Scheme. The efficiency and security of the Scheme is monitored and protected by your own bank or building society.
- If the amounts to be paid or the payment dates change, Alliance Trust Savings will notify you 10 working days in advance of your account being debited or as otherwise agreed.
- If an error is made by Alliance Trust Savings or your bank or building society, you are guaranteed a full and immediate refund from your branch of the amount paid.
- You can cancel a Direct Debit at any time, by writing to your bank or building society. Please also send a copy of your letter to us.



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