If you have any questions, please call our Customer Services Team on

**01382 573737**

Calls may be recorded for training and monitoring purposes.
Lines are open 8am – 5pm Monday to Friday.

Alliance Trust Savings

# Stocks & Shares ISA

## Top Up Form for the 2019/2020 Tax Year

Client Reference Number: ____________________

If you do not have a Stocks & Shares ISA with Alliance Trust Savings you cannot use this form.

If you have not contributed to your Alliance Trust Savings ISA in the previous tax year (2018/19) you must complete a new *Application Form* before you can contribute in the current tax year. You may not subscribe to more than one Stocks & Shares ISA in the same tax year.

Form to be completed in block capitals and black ink and return to: Alliance Trust Savings Limited, PO Box 164, 8 West Marketgait, Dundee DD1 9YP. If you have any questions please contact our Customer Services Team at **contact@alliancetrustsavings.co.uk** or on **01382 573737**. Please note that you will incur higher charges for postal dealing than for dealing online.

## 1. Personal details

National Insurance number: ____________________

Date of birth: D D M M Y Y

## 2. Payments by cheque to your Stocks & Shares ISA

Amount: £ ____________________ **(minimum £50)**

Please send cheques payable to Alliance Trust Savings Limited.

## 3. Investment instructions

If you wish to give us your purchase and income instructions now, please refer to the notes in this section before completing it.

If you have not yet decided which investments you would like to make you can leave this section blank. When we receive your cash we will hold it in your Deposit Account as cash until you give us further instructions. Please note if you fail to pay charges related to your ISA we reserve the right to disinvest assets in order to pay any outstanding charges. You will find information on available investments at **alliancetrustsavings.co.uk** or by calling our Customer Services Team on **01382 573737**.

You can wait until you have your Personal ID and password and make your Investment Instructions online, our online dealing fees will apply. If you complete the section below our offline dealing charges will apply. Please consult our *Charges Guide* at **alliancetrustsavings.co.uk** for further details.

| Investment[1] | | Payment amount[2] | Income options[3] | |
|---|---|---|---|---|
| Name (inc.class) | ISIN/TIDM code | Lump sum (£) | Name (inc.class) | ISIN/TIDM code |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | Total | £ | | |

### Notes to accompany table

1. Enter the full name and class of each investment you wish to make. This is important as there may be different classes available.

   Please quote the MEX/TIDM code which you can find within our Assets list at **alliancetrustsavings.co.uk**.

   To allocate your cash to your deposit account or Online Dealing Account, enter 'deposit' or 'online'.
2. Please enter the amount you wish to allocate to each investment.
3. Any income received from each investment will automatically be used to purchase more of the same investment when your Minimum Purchase Level is reached, unless you wish to:

   – Use it to purchase another investment – enter name and MEX code
   – Direct it to the Deposit Account – enter 'deposit'
   – Direct it to your Online Dealing Account – enter 'online'
   – Have income paid to your bank account – enter 'cash'.

We may buy your investments for you along with other customer instructions as part of the same deal. We will take all reasonable steps to ensure that the purchase is dealt on the best terms generally available in the market for transactions of a similar size and nature at the time of execution, as described in our *Order Execution Policy*. This may sometimes work to your advantage or disadvantage.

Section 3 continued overleaf >>

## 3. Investment instructions (continued)

### Purchasing funds or PRIIPs (Packaged Retail and Insurance-based Investment Products)

If you wish to invest in a fund or PRIIP (e.g. Investment Trust) you must confirm that you have read the relevant *Key Investor Information Document (KIID)* or *Key Information Document (KID)*. Please tick the box below.

I confirm that I have read the relevant KID/KIID ☐

I confirm that I am not a US Person or applying on behalf of a US Person ☐

## 4. Signature

Please tick this box to acknowledge that you have read the *FSCS Information Sheet* including exclusions to the depositor protection scheme, which can be found on our website **alliancetrustsavings.co.uk**, and kept it for future reference ☐

Signature: ✗

Date: D D M M Y Y

Alliance Trust Savings
PO Box 164, 8 West Marketgait, Dundee DD1 9YP
T +44 (0)1382 573737 F +44 (0)1382 321183 E contact@alliancetrustsavings.co.uk **alliancetrustsavings.co.uk**